UNIVERSITY OF KELANIYA

POLICY ON HUMAN RESOURCES PLANNING FOR ACADEMIC STAFF

SECTION A.

Policy Name: Human Resources Planning for Academic Staff

Policy No. KLN/P-QA/2024/03

Effective Date: 15 January 2025

Responsible Party: Academic Establishments Division, Deans of the Faculties,

Heads of the Departments

Scope and Audience

The Human Resource (HR) Plan at the University of Kelaniya is designed to meet the needs of the University in relation to its academic staff and ensure smooth transitions in important roles. The plan focuses on analyzing HR needs, hiring, and retaining outstanding academic talents who share the university's goals. Additionally, the plan includes a strong strategy for preparing future leaders from within the academic community. By actively identifying and preparing successors, offering them chances to learn new skills, and promoting a culture of knowledge sharing, the university ensures that leadership transitions occur smoothly.

SECTION B

1. Policy Purpose

The Human Resources Goal (Goal No. 02 in the University's Strategic Plan is to attract, recruit, develop and retain staff of the highest caliber to enable the attainment of the strategic goals of the university) of the University was carefully developed to align with the university's vision and mission while also fitting well with its overall strategy. A key factor in the University of Kelaniya's success is its strong Human Resources framework, which is clearly demonstrated by how they include HR objectives in their broader plan.

The human resources policy aims to support the above goal with several key objectives:

Policy on Human Resources Planning for Academic Staff, UOK

(Approved by 391st Senate Meeting and 532nd Council Meeting) December 2024

1. Strategic Hiring: Bringing in new staff members who fit well with the specific needs of each department. This helps make the organization more effective

overall.

2. Student Education: Ensuring undergraduate students receive high-quality

education without any interruptions.

3. Staff Development: Offering academic staff opportunities to further their

education in relevant fields, which helps them grow professionally.

4. Workload Management: Establishing clear and fair guidelines for managing the

workload of academic staff, including ensuring they have necessary breaks. This keeps things running smoothly and ensures staff have the support they need.

5. Succession Planning: Ensuring that the university has qualified individuals ready

to step into key positions when required.

6. Policy Statement

The University of Kelaniya emphasizes efficient planning and deployment of academic

staff across all its Departments of Study, aiming to maximize the utilization of

approved positions for academic activities.

7. Definitions of Academic Staff

Academic staff members comprise of Senior Professors, Professors, Associate

Professors, Senior Lecturers (Grades I and II), and Lecturers (unconfirmed,

confirmed and probationary).

8. Responsibilities and Procedures for Implementation of HR Plan

The proposed Human Resource Plan consists of two sections as follows.

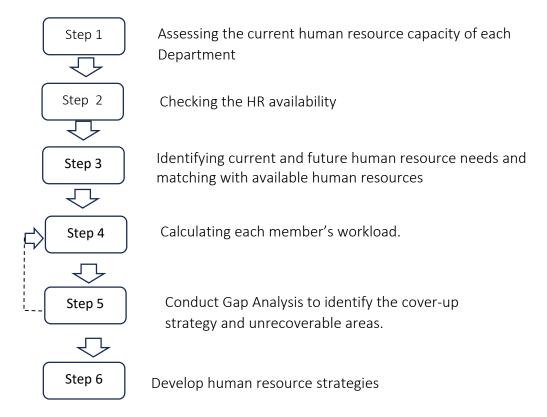
Part A: HR audit and strategies

Part B: Succession plan

2

Part A: HR audit and strategies

Conducting a human resource audit and developing a suitable strategy has six steps, with the responsibility for implementation resting with the Head of Department and Dean of the Faculty.



Step 1- Assessing the current human resource capacity of each department

First step is to assess the current human resource capacity of each department. This involves identifying the designations, employment periods, teaching roles, and specialization areas of existing academic staff members, as detailed in Annex 01 (Refer to Annex 1-Step 1).

Step 2: Checking the HR availability

Next step of the HR Plan should be named as Availability Audit. HOD should have common or one-to-one discussions with the staff members and get their availability for the next three years, considering the possibility of long-term study leave, sabbatical leave, or any other secondments which could affect the smooth operation of the teaching process of the department (**Refer to Annex 01 – Step 2**).

Step 3 – Identifying Current and future human resource needs and match available human resources with required needs.

In the third step, identify all the subjects/course units offered by the Department, the key resource persons for the subject/course unit and his/her availability for the next three (03) years at the Department. This must be done with an open discussion with the academic staff members, asking about their plans that affect their availability to teach the specified subject in the next three years (**Refer to annex 01 – Step 3**).

Step 4: Calculating each member's workload.

As all the lecturers should adhere to the workload and work norm standards of the University, the teaching workload of each lecturer for the next three years should be calculated for each lecturer at the department. (Refer to Annex 01 – Step 4) and (Annex 2 – Framework for Academic Accountability, UOK)).

Step 5: Conduct Gap Analysis to identify the Cover-up strategy and unrecoverable areas.

Taking into consideration the potential non-availability of the staff members (teaching specific subjects) for the next three years, an attempt should be made to fill the potential gap internally with the existing staff members. In this process, HODs can identify excess workloads and department under-workload scenarios and discuss them with the lecturers to come up with suitable remedial actions to avoid anomalies.

Then, follow step 4 (Calculating each member workload) **again**. HODs should take the responsibility of approving the leave of the staff members. Therefore, HODs need to have a succession plan for each staff member. (**Refer Annex 01- Step 5 (i)**).

Then follow the step 5(ii) and (iii). The availability of adequate cadre positions for the department needs to be considered at this step. (Refer to Annex 01 – Step 5 (ii) and (iii)). Annex 3- Commission Circular 4_2019 cadre norms

Step 6: Develop human resource strategies

1. Short-term job openings should be covered by the following strategies:

- 1. Train and utilize excess human resources.
- 2. Utilize existing human resources if the members are willing to work beyond the norm.
- 3. Attempt to obtain human resources from other Departments of study.
- 4. Recruit on a visiting basis.
- 2. For permanent job openings: If there is a permanent vacancy for core subjects, new recruitment should be conducted, subject to the provisions given under UGC circular 4 / 2019 on cadre norms.

Part B- Succession Plan

Developing a succession plan for executive positions at University of Kelaniya involves several steps to ensure a smooth transition and continuity of leadership.

- 1. **Identify Key Executive Positions:** Identify critical executive positions such as heads of departments, directors, program coordinators, and other leadership roles (excluding those of Dean and Vice-Chancellor) that require succession planning. The successor should be identified six months prior to the expiration of the executive position.
- 2. **Succession Criteria:** Define the criteria and qualities required for each executive position. This may include academic qualifications, leadership skills, administrative experience, research achievements, communication skills and other relevant factors specific to each role.
- Communication and Transparency: Maintain open communication regarding the succession planning process. Keep academic staff informed about position vacancies, succession initiatives, and opportunities for growth and development within the university.
- 4. **Leadership Training:** Design and offer leadership development programs tailored to the needs of potential successors. These programs can focus on strategic planning, decision-making, conflict resolution, team management, and other essential leadership skills.
- 5. **Talent Identification:** Identify potential successors within the university community. This may involve reviewing performance evaluation results, assessing training in management/leadership, and considering other relevant criteria.
- 6. **Continual Improvement**: Continuously refine and improve the succession plan based on feedback, changing organizational needs, and emerging trends in higher education leadership.
- 9. Forms Sample Excel Sheet.

10. Frequently Asked Questions

If there are common questions, the Centre for Quality Assurance (CQA) may wish to develop a FAQ list hosted on a website page. The URL link will be referenced here.

11. Related Information

Academic Staff Work Norms and Work Loads - University of Kelaniya /

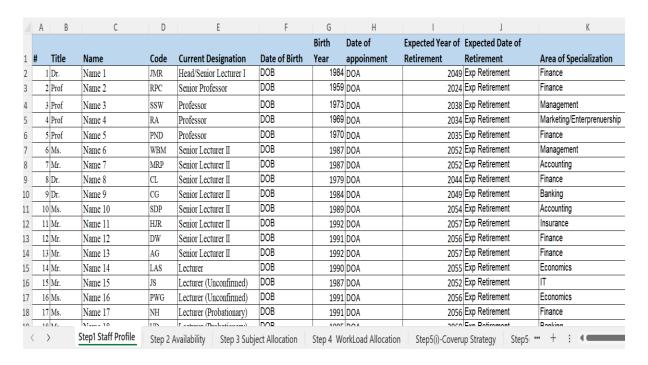
UGC Framework for Academic Accountability/ Cadre Norms for fixing cadre of the $\ensuremath{\mathsf{HEI}}$

Revision History

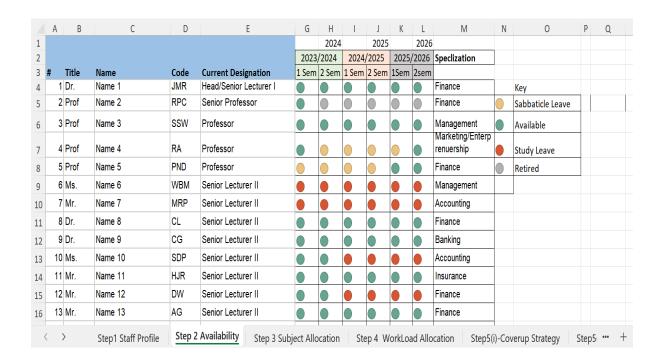
(Revision Dates: Month, Year)

Annex 1

Step 1- Assessing the current human resource capacity of each department



Step 2: Checking the HR availability



Step 3 – Identifying Current and future human resource needs and match available human resources with required needs.

	Α	С	L	M	N	Р	Q R	S	T	U	V	W	Υ	Z A
1		Teaching Panel and Hours						2023/2024						
2	Year	Subject Name 2	Hours	Lectuer 3	Hours	Resource Status	Lecturer 1	Hours	Lecturer 2	Hours *	Lectuer 3 *	Hours *	Resource Status	Lecturer 1
3	Y1S1	Microeconomics	22.5			Available	LAS	22.5	GM	22.5	0	0	Available	LAS
4		Mathematics for Finance I				Available	PZ	45	0	0	0	0	Available	PZ
5		Financial Accounting				Available	SDP	45	0	0	0	0	Not Available	SDP
6		Technology	15			Available	JS	15	PZ	15	0	0	Available	JS
7		Principles of Finance	10	UD	10	Available	RPC	10	JMR	10	UD	10	Not Available	RPC
8		Basic Statistics for Finance				Available	GAP	30	0	0	0	0	Available	GAP
9		Mathematics for Business	22.5			Available	RA	22.5	LAS	22.5	0	0	Not Available	RA
10		Practices				Available	SSW	45	0	0	0	0	Available	SSW
11														
12	Y1S2	Fundermentals of Managerial Acco	22.5			Available	SDP	22.5	GM	22.5	0	0	Not Available	SDP
13		Management Principles and Practic				Available	SSW	30	0	0	0	0	Available	SSW
14		Mathematics for Finance II				Available	GAP	45	0	0	0	0	Available	GAP
15		Skills Development	10	ST	10	Available	CL	10	UD	10	ST	10	Available	CL
16		Legal Environment of Business				Available	Visiting	30	0	0	0	0		Visiting
17		Macroeconomics	22.5			Available	GM		LAS	22.5	0	0	Available	GM
18		Business Statistics	30			Available	LAS		GAP	30	0	0	Available	LAS
10		Marketing for Financial Comicae	15			Availahla	Visitina	15	RR	15	n	n	Availahla	Visitina
	>	Step1 Staff Profile Step 2	2 Availa	bility	tep 3 Su	bject Allocat	step 4	WorkLoad Allo	cation Ste	ep5(i)-Coveru	ıp Strategy	Step5	·· + ; •	

Step 4: Calculating each member's workload.

Work norms

	Staff category	Minimum student contact ho required as per UGC Circula					
		Per academic year	Per week				
1	Head of Department of Study / Unit	180	4.5				
2	Senior Professor / Professor	300	7.5				
3	Associate Professor	360	9.0				
4	Senior Lecturer Gr I / II	380	9.5				
5	Lecturer (confirmed / unconfirmed / probationary)	450	11.25				
6	Instructor Gr I / Temporary Lecturer	480	12				
7	Instructor Gr II / Temporary Demonstrator / Tutor	510	12.75				

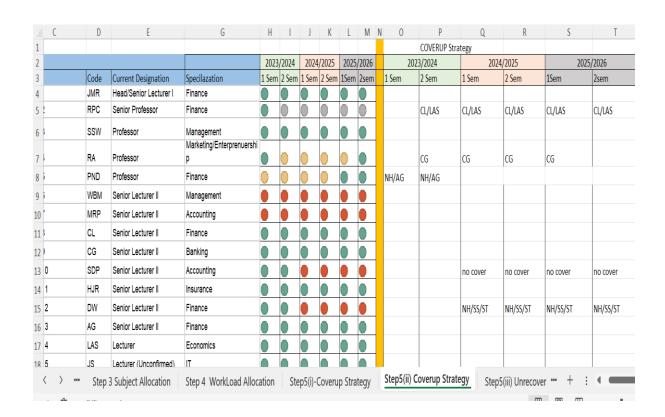
4	A	В	С	D	E	F	G	Н	110941104	J	K	L	M	Р	Q	R
							Contact Hours /	Teaching Contact	Hours per							
1	#	Title	Name	Code	Current Designation	Specilaization	Year	Hours (1/3)	week	1 Sem	2 Sem	Total	Over-Under			
	_		Name 1	JMR	Head/Senior Lecturer I	Finance	180	60		35	26.25	61.25	1.25			
1	2	Prof	Name 2	RPC	Senior Professor	Finance	300	100	10	32.5	11.25	43.75	-56.25			
	3	Prof	Name 3	SSW	Professor	Management	300	100	10	75	26.25	101.25	1.25			
5	4	Prof	Name 4	RA	Professor	Marketing/Enterp	300	100	10	37.5	21.25	58.75	-41.25			
7	5	Prof	Name 5	PND	Professor	Finance	300	100	10	10	10	20	-80			
3	6	Ms.	Name 6	WBM	Senior Lecturer II	Management	380	127	13	0	0	0	-127			
)	7	Mr.	Name 7	MRP	Senior Lecturer II	Accounting	380	127	13	0	0		-127			
0	8	Dr.	Name 8	CL	Senior Lecturer II	Finance	380	127	13	45	60	105	-22			
1	9	Dr.	Name 9	CG	Senior Lecturer II	Banking	380	127	13	15	60	75	-52			
2	10	Ms.	Name 10	SDP	Senior Lecturer II	Accounting	380	127	13	142.5	42.5	185	58			
.3	- 11	Mr.	Name 11	HJR	Senior Lecturer II	Insurance	380	127	13	0	0	0	-127			
4	12	Mr.	Name 12	DW	Senior Lecturer II	Finance	380	127	13	50	40	90	-37			
.5	13	Mr.	Name 13	AG	Senior Lecturer II	Finance	380	127	13	45	55	100	-27			
6	14	Mr.	Name 14	LAS	Lecturer	Economics	450	150	15	82.5	22.5	105	-45			
7	15	Mr.	Name 15	JS	Lecturer (Unconfirmed)	IT	450	150	15	45	37.5	82.5	-67.5			
8	16	Ms.	Name 16	PWG	Lecturer (Unconfirmed)	Economics	450	150	15	0	0	0	-150			
9	17	Ms.	Name 17	NH	Lecturer (Probationary)	Finance	450	150	15	50	65	115	-35			
0	18	Ms.	Name 18	UD	Lecturer (Probationary)	Banking	450	150	15	92.5	70	162.5	12.5			
1	19	Ms.	Name 19	GAP	Lecturer (Probationary)	Mathematics	450	150	15	105	30	135	-15			
2	20	Ms.	Name 20	SL	Lecturer (Probationary)	Insurance	450	150	15	0	0	0	-150			
3	21	Ms.	Name 21	ST	Lecturer (Probationary)	Finance	450	150	15	42.5	70	112.5	-37.5			
4	22	Ms.	Name 22	TR	Lecturer (Probationary)	Mathematics	450	150	15	0	0	0	-150			
5	23	Ms.	Name 23	SZ	Lecturer (Probationary)	Mathematics	450	150	15	0	0	0	-150			

Step 5: Conduct Gap Analysis to identify the Coverup strategy and unrecoverable areas.

5(a)

Α	В	С	D	E	F	G	Н	I	J	K	L	M	Р	Q
									2023/2024				2024/2025	
						Minimum	Minimum							
						Regured Student	Required	Required						
						Contact Hours /	Teaching Contact	Hours per					Proposed work load allocation	
	Title	Name	Code	Current Designation	Specilaization	1	Hours (1/3)	week	1 Sem	2 Sem	Total	Over-Under	including coverup	Over-Under
	1 Dr.	Name 1	JMR	Head/Senior Lecturer I	Finance	180	60	6	35	26.25	61.25	1.25	61.25	1.2
	2 Prof	Name 2	RPC	Senior Professor	Finance	300	100	10	32.5	11.25	43.75	-56.25		
	3 Prof	Name 3	SSW	Professor	Management	300	100	10	75	26.25	101.25	1.25	101.25	1.2
	4 Prof	Name 4	RA	Professor	Marketing/Enter	300	100	10	37.5	21.25	58.75	-41.25		
	5 Prof	Name 5	PND	Professor	Finance	300	100	10	10	10	20	-80		
	6 Ms.	Name 6	WBM	Senior Lecturer II	Management	380	127	13	0	0	0	-127		
	7 Mr.	Name 7	MRP	Senior Lecturer II	Accounting	380	127	13	0	0	0	-127		
	B Dr.	Name 8	CL	Senior Lecturer II	Finance	380	127	13	45	60	105	-22	126.875	-0.12
	9 Dr.	Name 9	CG	Senior Lecturer II	Banking	380	127	13	15	60	75	-52	133.75	6.7
1	Ms.	Name 10	SDP	Senior Lecturer II	Accounting	380	127	13	142.5	42.5	185	58		
1	1 Mr.	Name 11	HJR	Senior Lecturer II	Insurance	380	127	13	0	0	0	-127	0	-12
1	2 Mr.	Name 12	DW	Senior Lecturer II	Finance	380	127	13	50	40	90	-37		
1	3 Mr.	Name 13	AG	Senior Lecturer II	Finance	380	127	13	45	55	100	-27	100	-2
1	4 Mr.	Name 14	LAS	Lecturer	Economics	450	150	15	82.5	22.5	105	-45	126.875	-23.12
1	5 Mr.	Name 15	JS	Lecturer (Unconfirmed)	IT	450	150	15	45	37.5	82.5	-67.5	82.5	-67.
1	6 Ms.	Name 16	PWG	Lecturer (Unconfirmed)	Economics	450	150	15	0	0	0	-150	0	-15
1	7 Ms.	Name 17	NH	Lecturer (Probationary)	Finance	450	150	15	50	65	115	-35	145	
1	Ms.	Name 18	UD	Lecturer (Probationary)	Banking	450	150	15	92.5	70	162.5	12.5	162.5	12.
1	9 Ms.	Name 19	GAP	Lecturer (Probationary)	Mathematics	450	150	15	105	30	135	-15	135	-1
2	Ms.	Name 20	SL	Lecturer (Probationary)	Insurance	450	150	15	0	0	0	-150	30	-12
2	1 Ms.	Name 21	ST	Lecturer (Probationary)	Finance	450	150	15	42.5	70	112.5	-37.5	142.5	-7.
2	2 Ms.	Name 22	TR	Lecturer (Probationary)	Mathematics	450	150	15	0	0	0	-150	0	-15
2	3 Ms.	Name 23	SZ	Lecturer (Probationary)	Mathematics	450	150	15	0	0	0	-150	0	-15

5(b)



5(c)

